Account Trade Request Form

Once completed, please email to: **managedaccounts@zacks.com or fax to: (312) 324-0667**. For general inquiries, additional comments, or to check on the status of a request being processed, please call (888) 775-8351.

This Section for RIA Use Only

RIA Firm / Client Information

Date Submitted to Zacks: ____________________

RIA Firm Name: ______________________________

Custodian: ______________________________

Client Name: ______________________________

Client Acct #: ______________________________

Account Value: $ ______________________________

RIA Phone #: ______________________________

Trade Request Details

New Account: ☒ Existing Account: ☐

Zacks Strategy Name (Allocation Blend): ____

Buy: $ ______________________ into the existing/new allocation

Sell: $ ______________________ from the existing allocation

Sell All & Close Account: ☐ Close Account (no sales requested): ☐

Additional Comments (security restrictions, reoccurring distribution details, etc.):

All submitted request are done on a "FIFO" & "Best Efforts" basis.

This Section for Zacks Investment Management Operations Use Only

Notes: ____

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Execution Date: ____________________ Time: ______________ By: ______________________